

ANNEXURE

DEBARMENT NOTIFICATION FORM

PART I

Note:

An FSP must, within five days of the debarment of a representative, notify the Authority of that debarment by completing Part I of this Form and submitting it to the Authority by either hand delivery or electronic mail to the addresses listed in Table A of FSCA Notice 17 of 2018.

1. Particulars of the debarring FSP

FSP Name	
FSP Number	

2. Particulars of the person at the FSP who effected the debarment

Full Names	
Surname	
Position at the FSP [Designation]	
Mobile phone number	
Telephone number	
E-mail address	

3. Recent particulars of the debarred representative

Title	
Full Names	
Surname	
Identity No. / Passport No. / Registration No.	
Physical address	
Postal Address	
Telephone number	
Mobile phone number	
E-mail address	

4. Date on which the representative was debarred

Debarment date

5. Grounds of debarment**Indicate the grounds of the debarment.**

(a)	Non-compliance with Fit and Proper Requirements	Honesty and Integrity	<input type="checkbox"/>
		Competency	<input type="checkbox"/>
		Operational ability	<input type="checkbox"/>
		Financial soundness	<input type="checkbox"/>
(b)	Any other material contravention or non-compliance with provisions of the Act	<input type="checkbox"/>	
	Indicate the non-complied or contravened provision of the Act.		

6. Details of person completing Part I of the Form

Name

Designation

Place

Date

Signature

PART II

Note:

An FSP must, within 15 days of the debarment of a representative, submit Part II of the Form to the Authority with the information / documentation referred to in paragraph 8 by any of the delivery methods listed in Table A of FSCA Notice 17 of 2018.

7. Particulars of the debarring FSP and Debarred Representative

FSP Name	
FSP Number	
Initials and Surname of Debarred Representative	
Identity No. / Passport No. / Registration No. of Debarred Representative	

8. Grounds and Reasons

Submit with within 15 days from date of debarment, all relevant documentation which formed the grounds and reasons for the debarment, including but not limited to the following:

- (a) All documentary evidence and information supporting the grounds/reasons for the debarment.
- (b) A copy of the employment contract or mandate between the FSP and the debarred representative.
- (c) A copy of transcript / minutes and outcome of debarment hearing.
- (d) Forensic/investigation report and any other relevant documents.

9. Details of person completing Part II of the Form

Name	
Designation	
Place	
Date	
Signature	